

Applicant: Norbert Abels et al.  
Serial No. Not yet known  
Confirmation No. Not yet known  
Filing Date: February 6, 2004  
For:

Att'y Docket No. 7678.599.1  
Group: Not yet known

SELF-LIGATING ORTHODONTIC BRACKETS INCLUDING A  
METAL LIGATION COVER HINGEDLY CONNECTED TO A  
BRACKET BASE

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>
<u>92</u> 1	5,857,849	01/12/99	Kurz
2	4,712,999	12/15/87	Rosenberg
3	5,474,445	12/12/95	Voudouris
4	5,562,444	10/08/96	Heiser et al.
5	5,711,666	01/27/98	Hanson
6	6,169,429	01/02/01	Brown
7	5,269,681	12/14/93	Degnan
8	4,355,975	10/26/82	Fujita
9	2,011,575	08/20/35	Ford
10	3,128,552	04/14/64	Broussard
11	3,748,740	07/31/73	Wildman
12	3,854,207	12/17/74	Wildman
<u>92</u> 13	4,077,126	03/07/78	Pletcher

Examiner: John Wilson

Date Considered: 10/11/04

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<u>92</u> 14	4,103,423	08/01/78	Kessel
15	4,144,642	03/20/79	Wallshein
16	4,171,568	10/23/79	Förster
17	4,180,912	01/01/80	Kesling
18	4,279,593	07/21/81	Röhlcke
19	4,371,337	02/01/83	Pletcher
20	4,419,078	12/06/83	Pletcher
21	4,492,573	01/08/85	Hanson
22	4,527,975	07/09/85	Ghafari et al.
23	4,559,012	12/17/85	Pletcher
24	4,559,013	12/17/85	Amstutz et al.
25	4,597,739	07/01/86	Rosenberg
26	4,614,497	09/30/86	Kurz
27	4,634,662	01/06/87	Rosenberg
28	4,655,708	04/07/87	Fujita
29	4,687,441	08/18/87	Klepacki
30	4,698,017	10/06/87	Hanson
<u>92</u> 31	4,712,999	12/15/87	Rosenberg

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<i>gn</i> 32	4,786,252	11/22/88	Fujita
33	4,846,681	07/11/89	Mourany et al.
34	4,850,865	07/25/89	Napolitano
35	4,913,654	04/03/90	Morgan et al.
36	5,037,296	08/06/91	Karwoski
37	5,062,794	11/05/91	Miura
38	5,078,596	01/07/92	Carberry et al.
39	5,094,614	03/10/92	Wildman
40	5,125,832	06/30/92	Kesling
41	5,160,260	11/03/92	Chang
42	5,161,969	11/10/92	Pospisil et al.
43	5,174,754	12/29/92	Meritt
44	5,224,858	07/06/93	Hanson
45	5,275,557	01/04/94	Damon
46	5,322,435	06/21/94	Pletcher
47	5,344,315	09/06/94	Hanson
48	5,380,197	01/10/95	Hanson
<i>gn</i> 49	5,456,599	10/10/95	Hanson

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<i>g</i> 50	5,470,228	11/28/95	Franseen et al.
51	5,474,445	12/12/95	Voudouris
52	5,474,446	12/12/95	Wildman et al.
53	5,556,276	09/17/96	Roman et al.
54	5,562,444	10/08/96	Heiser et al.
55	5,586,882	12/24/96	Hanson
56	5,630,715	05/20/97	Voudouris
57	5,630,716	05/20/97	Hanson
58	5,685,711	11/11/97	Hanson
59	5,711,666	01/27/98	Hanson
60	5,738,513	04/14/98	Hermann
61	5,857,849	01/12/99	Kurz
62	5,863,199	01/26/99	Wildman
63	5,885,074	03/23/99	Hanson
64	5,906,486	05/25/99	Hanson
65	5,964,589	10/12/99	Musich
66	6,017,118	01/25/00	Gasvoda et al.
<i>g</i> 67	6,071,119	06/06/00	Christoff et al.

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<i>gn</i> 68	6,042,373	03/28/00	Hermann
69	6,042,374	03/28/00	Farzin-Nia et al.
70	3,218,71	11/23/65	Wallshein
71	3,724,074	04/03/73	Wallshein
72	4,859,179	08/22/89	Kesling
<i>gn</i> 73	5,429,499	07/04/95	Sernetz

#### Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
<i>gn</i> 74	DE 1 184 451	12/31/64	Germany
75	DE 296 08 349 U1	07/11/96	Germany
76	DT 23 57 573	05/22/75	Germany
77	G 91 12 872.2	03/19/92	Germany
78	WO 94/00072	06/01/94	PCT
79	WO 00/33760	06/15/00	PCT
80	EP 0 714 639 A2	05/06/96	EP
81	EP 0 714 639 A3	05/03/96	EPO
<i>gn</i> 82	WO 00/76419	12/21/00	PCT

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Other Documents

(including author, title, pertinent pages, etc.)

Examiner

Initial\*

 83 Konstruieren mit unststoffen, Gunter, Erhard, Carl Hanser Verlag München Wien, pp. 314-329, 1999

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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